

Katz, Lindell
Introduction to Modern Cryptography
Slides Chapter 12

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Digital signature schemes

- ▶ Goal: integrity of messages
- ▶ Signer “signs” a message using a private key
- ▶ Receiver can verify the signature using a public key

Difference to MACs:

- ▶ Signature can be verified publicly
- ▶ Signature is transferable
- ▶ Signature cannot be “taken back”

Definition

Definition (12.1)

A *digital signature scheme* is a triple of ppt algorithms $(\text{Gen}, \text{Sign}, \text{Vrfy})$ such that

1. Gen on input 1^n outputs a public key pk and a private key sk . Both have length at least n and we can determine n from them.
2. The signing algorithm Sign takes as input sk and a message m (from some message space that might depend on pk). It outputs a signature σ .
3. The deterministic verification algorithm Vrfy takes as input pk , a message m , and a signature σ . It outputs a bit b . $b = 1$ means that *valid* and $b = 0$ means *invalid*.

For every legal message m , we have $\text{Vrfy}_{pk}(m, \text{Sign}_{sk}(m)) = 1$ except with negligible probability over (pk, sk) generated by $\text{Gen}(1^n)$.

Security

The signature experiment $\text{Sig-Forge}_{\mathcal{A},\Pi}(n)$:

1. Run $\text{Gen}(1^n)$ to generate (pk, sk) .
2. \mathcal{A} is given pk and oracle access to $\text{Sign}_{sk}(\cdot)$. \mathcal{A} outputs (m, σ) . Let \mathcal{Q} denote the set of all queries asked by \mathcal{A} to the oracle.
3. \mathcal{A} wins if (1) $\text{Vrfy}_{pk}(m, \sigma) = 1$ and (2) $m \notin \mathcal{Q}$. Otherwise he loses.

Definition (12.2)

A signature scheme π is *secure* (existentially unforgeable under an adaptive chosen-message attack) if for all ppt \mathcal{A} ,

$$\Pr[\text{Sig-Forge}_{\mathcal{A},\Pi}(n) = 1] \leq \text{negl}(n).$$

Hash and sign

Construction 12.3 Let $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$ be a signature scheme for messages of length $\ell(n)$ and let $\Pi_H = (\text{Gen}_H, H)$ be a hash function with output length $\ell(n)$. Construct Π' as follows:

- ▶ Gen' : On input 1^n run $\text{Gen}(1^n)$ to obtain (pk, sk) and run $\text{Gen}_H(1^n)$ to obtain s . The public key is (pk, s) and the private key is (sk, s) .
- ▶ Sign' : on input $m \in \{0, 1\}^*$, output $\sigma \leftarrow \text{Sign}_{sk}(H^s(m))$.
- ▶ Vrfy' : on input m and signature σ output 1 if and only if $\text{Vrfy}_{pk}(H^s(m), \sigma) = 1$.

Theorem (12.4)

If Π is a secure signature scheme for messages of length ℓ and Π_H is collision resistant, then Construction 12.3 is secure (for arbitrary message lengths).

Plain RSA

Construction 12.5

- ▶ Gen: on input 1^n , run $\text{GenRSA}(1^n)$ to obtain (N, e, d) . The public key is (N, e) and the private key is (N, d) .
- ▶ Sign: on input (N, d) and $m \in \mathbb{Z}_N^*$, output $\sigma := m^d \pmod N$.
- ▶ Vrfy: on input (N, e) and message $m \in \mathbb{Z}_N^*$ and signature $\sigma \in \mathbb{Z}_N^*$, output 1 if and only if $m = \sigma^e \pmod N$.

Not secure!

Construction 12.6

- ▶ Gen: on input 1^n , run $\text{GenRSA}(1^n)$ to obtain (N, e, d) . The public key is (N, e) and the private key is (N, d) .
Choose a function $H : \{0, 1\}^* \rightarrow \mathbb{Z}_N^*$.
- ▶ Sign: on input (N, d) and $m \in \{0, 1\}^*$, output $\sigma := H(m)^d \pmod N$.
- ▶ Vrfy: on input (N, e) and message $m \in \{0, 1\}^*$ and signature $\sigma \in \mathbb{Z}_N^*$, output 1 if and only if $H(m) = \sigma^e \pmod N$.

Theorem (12.7)

If the RSA problem is hard relative to GenRSA and H is modelled as a random oracle, then Construction 12.6 is secure.

Proof

Sig-Forge $_{\mathcal{A}, \Pi}(n)$:

1. GenRSA(1^n) is run to obtain (N, e, d) . A random $H : \{0, 1\}^* \rightarrow \mathbb{Z}_N^*$ is chosen.
2. \mathcal{A} is given $pk = (N, e)$ and may query $H(\cdot)$ as well as $\text{Sign}_{(N,d)}(\cdot)$ (where $\text{Sign}_{(N,d)}(m) = H(m)^d \pmod n$).
3. \mathcal{A} outputs (m, σ) , where it had to not previously requested a signature on m . The output of the experiment is 1 iff $\sigma^e = H(m) \pmod N$.

Proof (2)

W.l.o.g.:

- ▶ when \mathcal{A} calls the signature oracle on m or outputs (m, σ) , it previously requested $H(m)$.
- ▶ \mathcal{A} makes exactly q queries to H . (Let m_1, \dots, m_q be these queries.)

Sig-Forge' $'_{\mathcal{A}, \Pi}(n)$:

1. Choose uniform $j \in \{1, \dots, q\}$.
2. GenRSA(1^n) is run to obtain (N, e, d) . A random $H : \{0, 1\}^* \rightarrow \mathbb{Z}_N^*$ is chosen.
3. \mathcal{A} is given $pk = (N, e)$ and may query $H(\cdot)$ as well as $\text{Sign}_{(N,d)}(\cdot)$ (where $\text{Sign}_{(N,d)}(m) = H(m)^d \pmod N$).
4. \mathcal{A} outputs (m, σ) , where it had to not previously requested a signature on m . Let i be such that $m = m_i$. The output of the experiment is 1 iff $\sigma^e = H(m) \pmod N$ and $j = i$.

Proof (3)

Algorithm \mathcal{A}' : input (N, e, y)

1. Choose uniform $j \in \{1, \dots, q\}$.
2. Run \mathcal{A} on input $pk = (N, e)$. \mathcal{A}' maintains a table: An entry (m_i, σ_i, y_i) indicates that \mathcal{A}' has set $H(m_i) = y_i$ and $\sigma_i^e = y_i \pmod N$.
3. When \mathcal{A} makes its i th query $H(m_i)$, answer it as follows:
 - 3.1 if $i = j$, then return y as the answer.
 - 3.2 else choose $\sigma_i \in \mathbb{Z}_N^*$ uniformly at random, compute $y_i := \sigma_i^e \pmod N$, return y_i and store (m_i, σ_i, y_i) in the table.

When \mathcal{A} requests a signature on message m , let i be such that $m = m_i$, and answer the query as follows:

- 3.1 if $i = j$, then \mathcal{A}' aborts
 - 3.2 if $i \neq j$, then there is an entry (m_i, σ_i, y_i) in the table. Return σ_i .
4. \mathcal{A} outputs (m, σ) in the end. If $m = m_j$ and $\sigma^e = y \pmod N$, then output σ .

Identification schemes

Scenario:

- ▶ A prover (“user”) tries to identify itself to a verifier (“server”).
- ▶ Public key setting: Verifier only knows the public key of the prover.

Three-round identification protocol:

1. Prover runs an algorithm $P_1(sk)$ obtaining an initial message I and some state st and sends I to the verifier.
 2. The verifier chooses a challenge $r \in \Omega_{pk}$ uniformly at random and sends it to the prover.
 3. The prover runs a second algorithm P_2 , computes $s := P_2(sk, st, r)$ and sends it to the verifier.
 4. The verifier runs an algorithm V and accepts if $V(pk, r, s) = I$
- ▶ P_1 is ppt, P_2 and V are deterministic polytime.
 - ▶ *nondegenerate*: probability that P_1 outputs some specific I should be negligible.

Security

- ▶ Let $\text{trans}_{sk}()$ be an oracle that, when called without any input, outputs a transcript (I, r, s)
- ▶ models eavesdropping of multiple (honest) executions of the protocol.

The identification experiment $\text{Ident}_{\mathcal{A}, \Pi}(n)$:

1. $\text{Gen}(1^n)$ is run to obtain (pk, sk) .
2. Adversary \mathcal{A} is given pk and access to an oracle $\text{trans}_{sk}()$.
3. Eventually, \mathcal{A} outputs a message I . A challenge $r \in \Omega_{pk}$ is chosen and given to \mathcal{A} . \mathcal{A} outputs some s .
4. The outcome of the experiment is 1 iff $V(pk, r, s) = I$.

Security (2)

Definition (12.8)

An identification scheme $\Pi = (\text{Gen}, P_1, P_2, V)$ is *secure* (against passive attacks) if for all ppt \mathcal{A} ,

$$\Pr[\text{Ident}_{\mathcal{A}, \Pi}(n) = 1] \leq \text{negl}(n).$$

Fiat-Shamir transform

Construction 12.9

Let $(\text{Gen}_{\text{id}}, P_1, P_2, V)$ be an identification scheme and construct a signature scheme as follows:

- ▶ **Gen**: On input 1^n , run Gen_{id} to obtain (pk, sk) . The public key pk specifies a set of challenges Ω_{pk} . A function $H : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \Omega_{pk}$ is chosen.
- ▶ **Sign**: On input sk and $m \in \{0, 1\}^*$ do:
 1. $(I, st) \leftarrow P_1(sk)$
 2. $r := H(I, m)$
 3. $s := P_2(sk, st, r)$
 4. Output (r, s) as a signature.
- ▶ **Vrfy**: On input pk , a message m , and signature (r, s) , compute $I := V(pk, r, s)$ and output 1 iff $H(I, m) = r$.

From identification schemes to signatures

Theorem (12.10)

Let Π be an identification scheme and let Π' be the signature scheme that results by applying the Fiat-Shamir transform to it. If Π is secure and \mathcal{H} is modelled as a random oracle, then Π' is secure.

Proof

Algorithm \mathcal{A} :

1. Choose uniform $j \in \{1, \dots, q\}$
2. Run $\mathcal{A}'(pk)$. When \mathcal{A}' makes a query $H(I_i, m_i)$:
 - 2.1 If $i = j$, then output I_j and receive a challenge r . Return r to \mathcal{A}' as the answer to the query.
 - 2.2 If $i \neq j$, then choose a uniform $r \in \Omega_{pk}$ and return r as the answer to the query.

When \mathcal{A}' request a signature on m :

- 2.1 Query $\text{trans}_{sk}()$ to obtain a transcript (I, r, s) .
 - 2.2 Return (r, s)
3. If \mathcal{A}' outputs a forged signature (r, s) on a message m , compute $I := V(pk, r, s)$ and check whether $(I, m) = (I_j, m_j)$. If yes, output s . Otherwise, abort.

Schnorr identification scheme

Setup:

- ▶ Discrete-logarithm-based identification scheme
- ▶ A group (G, q, g) is generated
- ▶ The Prover chooses a uniform $x \in \mathbb{Z}_q$ and sets $y := g^x$. The private key is x and the public key is (G, q, g, y) .

Protocol:

1. The prover chooses $k \leftarrow \mathbb{Z}_q$ uniformly at random and sends $I := g^k$.
2. The verifier chooses $r \in \mathbb{Z}_q$ uniformly at random.
3. The prover computes $s := rx + k \pmod q$ and sends s .
4. The verifier checks whether $g^s \cdot y^{-r} = I$.

Theorem (12.11)

If the discrete logarithm problem is hard relative to the group generation algorithm, then the Schnorr identification algorithm is secure.

Oracle access to $\text{trans}_{sk}()$ is of no use!

1. In an honest transcript, I and r are uniform and independent and $s = \log_g(I \cdot y^r)$.
2. \mathcal{A} can generate a transcript by choosing s and r uniformly at random and setting $I := g^s \cdot y^{-r}$.
3. Since s is uniform and independent of r , I is also uniform.

Proof

Algorithm \mathcal{A}' : Input (G, q, g, y)

1. Run $\mathcal{A}(pk)$ answering all its queries to $\text{trans}_{sk}()$ by generating some transcript.
2. When \mathcal{A} outputs I , choose $r_1 \in \mathbb{Z}_q$ uniformly at random. Give r_1 to \mathcal{A} and let s_1 be its response.
3. Run $\mathcal{A}(pk)$ a second time (from scratch) using the same random bits as before except for a new uniform $z_2 \in \mathbb{Z}_q$. Let s_2 be \mathcal{A} 's new response.
4. If $g^{s_1} \cdot y^{-r_1} = I$ and $g^{s_2} \cdot y^{-r_2} = I$ and $r_1 \neq r_2$, then output $(s_1 - s_2)(r_1 - r_2)^{-1} \pmod q$. Else output nothing.

Schnorr signature scheme

Construction 12.12

- ▶ Gen: run the group generation algorithm to generate (G, q, g) . Choose a uniform $x \in \mathbb{Z}_q$ and set $y = g^x$. The private key is x and the public key is (G, q, g, y) . Choose a function $H : \{0, 1\}^* \rightarrow \mathbb{Z}_q$.
- ▶ Sign: in input x and message $m \in \{0, 1\}^m$, choose a uniform $k \in \mathbb{Z}_q$ and set $I := g^k$. Compute $r := H(I, m)$ and $s := rx + k \pmod q$. Output (r, s) .
- ▶ Vrfy: on input (G, q, g, y) , a message m , and a signature (r, s) , compute $I := g^s \cdot y^{-r}$ and output 1 if $H(I, m) = r$ and 0 otherwise.

DSA and ECDSA

- ▶ G cyclic group of prime order q with generator g .
- ▶ $sk = x \in \mathbb{Z}_q$ and $pk = (G, q, g, y)$ with $y = g^x$.
- ▶ DSA (digital signature algorithm): subgroup of \mathbb{Z}_p^*
- ▶ ECDSA: subgroup of some elliptic curve $E(\mathbb{Z}_p)$.

Underlying identification scheme:

1. The prover chooses uniform $k \in \mathbb{Z}_q^*$ and sends $I := g^k$.
 2. The verifier chooses and sends uniform $\alpha, r \in \mathbb{Z}_q$.
 3. The prover sends $k^{-1}(\alpha + xr) \pmod q$.
 4. The verifier accepts if $s \neq 0$ and $g^{\alpha s^{-1}} \cdot y^{rs^{-1}} = I$
- ▶ $s = 0$ only when $\alpha = -xr \pmod q \rightarrow$ negligible.

Signing scheme

- ▶ $\alpha := H(m)$ for some cryptographic hash function
- ▶ $r := F(I)$ for some “simple” function $F : G \rightarrow \mathbb{Z}_q$
- ▶ When G is a subgroup of \mathbb{Z}_p^* , then $F(I) := I \pmod q$.
- ▶ When G is an elliptic curve, then $F((x, y)) := x \pmod q$.

Construction 12.13

- ▶ Gen: Generate the underlying group. Choose uniform $x \in \mathbb{Z}_q$ and set $y := g^x$. The public key is (G, q, g, y) and the private key is x . Choose the functions H and F .
- ▶ Sign: on input x and message $m \in \{0, 1\}^*$, choose a uniform $k \in \mathbb{Z}_q^*$ and set $r := F(g^k)$. Then compute $s := k^{-1} \cdot (H(m) + xr) \pmod q$. If $r = 0$ or $s = 0$, then choose a new k . Output (r, s) .
- ▶ Vrfy: on input a public key, a message m , and a signature (r, s) with $r, s \neq 0 \pmod q$ output 1 iff $r = F(g^{H(m)s^{-1}} y^{rs^{-1}})$.

Construction 12.13

- ▶ is secure, if discrete logarithm is hard and H and F are random oracles.
- ▶ However, F is “far” from being a random oracle.
- ▶ Nevertheless, no attack is known.

One-time security

The one-time signature experiment $\text{Sig-Forge}_{\mathcal{A}, \Pi}^{1\text{-time}}(n)$:

1. Run $\text{Gen}(1^n)$ to generate (pk, sk) .
2. \mathcal{A} is given pk and asks a single query m' to $\text{Sign}_{sk}(\cdot)$. \mathcal{A} outputs (m, σ) with $m \neq m'$.
3. \mathcal{A} wins if $\text{Vrfy}_{pk}(m, \sigma) = 1$. Otherwise he loses.

Definition (12.14)

A signature scheme $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$ is *one-time secure* if for all ppt \mathcal{A} ,

$$\Pr[\text{Sig-Forge}_{\mathcal{A}, \Pi}^{1\text{-time}}(n) = 1] \leq \text{negl}(n)$$

Lamport's signature scheme

Construction 12.15

Let $H : \{0, 1\}^* \rightarrow \{0, 1\}^*$ be a function.

- ▶ Gen: On input 1^n , do for $i \in \{1, \dots, \ell\}$:
 1. Choose uniform $x_{i,0}, x_{i,1} \in \{0, 1\}^n$.
 2. Compute $y_{i,0} := H(x_{i,0})$ and $y_{i,1} := H(x_{i,1})$.

The public and private key are

$$pk = \begin{pmatrix} y_{1,0} & \dots & y_{\ell,0} \\ y_{1,1} & \dots & y_{\ell,1} \end{pmatrix}, \quad sk = \begin{pmatrix} x_{1,0} & \dots & x_{\ell,0} \\ x_{1,1} & \dots & x_{\ell,1} \end{pmatrix}.$$

- ▶ Sign: on input sk and message $m \in \{0, 1\}^\ell$ with $m = m_1 \dots m_\ell$, output $(x_{1,m_1}, \dots, x_{\ell,m_\ell})$.
- ▶ Vrfy: in input pk and message $m \in \{0, 1\}^\ell$ and signature $\sigma = (x_1, \dots, x_\ell)$, output 1 iff $H(x_i) = y_{i,m_i}$, $1 \leq i \leq \ell$.

Lamport's signature scheme

Theorem (12.16)

Let ℓ be any polynomial. If H is one-way, then Construction 12.15 is one-time-secure.

Corollary (12.17)

If one-way functions exist, then there is a one-time secure signature scheme for messages of length ℓ for any polynomial ℓ .

Proof

Algorithm I: Input is 1^n and y

1. Choose uniform $i^* \in \{1, \dots, \ell\}$ and $b^* \in \{0, 1\}$. Set $y_{i^*, b^*} := y$.
2. For all $i \in \{0, \dots, \ell\}$ and $b \in \{0, 1\}$ with $(i, b) \neq (i^*, b^*)$:
Choose uniform $x_{i,b} \in \{0, 1\}^n$ and set $y_{i,b} := H(x_{i,b})$.
3. Run \mathcal{A} on $pk = \begin{pmatrix} y_{1,0} & \dots & y_{\ell,0} \\ y_{1,1} & \dots & y_{\ell,1} \end{pmatrix}$.
4. When \mathcal{A} requests a signature on message m' :
 - 4.1 If $m'_{i^*} = b^*$, then I aborts the execution.
 - 4.2 Otherwise, i returns $\sigma = (x_{1,m'_1}, \dots, x_{\ell,m'_\ell})$.
5. When \mathcal{A} outputs (m, σ) with $\sigma = (x_1, \dots, x_\ell)$:
If \mathcal{A} outputs a forgery at (i^*, b^*) , then output x_{i^*} .

Stateful signature schemes

Definition (12.18)

A *stateful signature scheme* is a tuple of ppt algorithms $(\text{Gen}, \text{Sign}, \text{Vrfy})$:

1. Gen on input 1^n outputs keys pk and sk and in addition, an *initial state* s_0 .
2. The signing algorithm Sign takes sk , a state s_{i-1} and a message $m \in \{0, 1\}^*$. It outputs a signature σ and new state s_i .
3. The deterministic verification algorithm Vrfy takes a public key pk , a message m and a signature σ and outputs a bit b .

For every n , (pk, sk, s_0) output by Gen , and any messages $m_1, \dots, m_t \in \{0, 1\}^*$, if we iteratively compute $(\sigma_i, s_i) \leftarrow \text{Sign}_{sk, s_{i-1}}(m_i)$ for $i = 1, \dots, t$, then for every $1 \leq i \leq t$, $\text{Vrfy}_{pk}(m_i, \sigma_i) = 1$.

Stateful signature schemes (2)

- ▶ State is not known to Vrfy. In some schemes, it must even be kept secret.
- ▶ Security is defined as for stateless schemes, but the signing oracle does not give the state to the verifier.

Tree-based construction

Lemma (12.19)

If collision resistant hash functions exist, then there exist one-time secure signature schemes (for arbitrary messages length).

Theorem (12.21)

Let Π be a one-time secure signature scheme. Then Construction 12.20 is a secure signature scheme

Tree-based construction

Construction 12.20:

Let $\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$ be a signature scheme.

- ▶ Gen^* : on input 1^n is computes $(pk_\epsilon, pk_\epsilon) \leftarrow \text{Gen}(1^n)$.
- ▶ Sign^* : on input $m \in \{0, 1\}^n$, do:
 1. for $i = 0$ to $n - 1$:
If $pk_{m|i0}, pk_{m|i1}$ and $\sigma_{m|i}$ are not in the state, then compute $(pk_{m|i0}, sk_{m|i0}) \leftarrow \text{Gen}(1^n)$, $(pk_{m|i1}, sk_{m|i1}) \leftarrow \text{Gen}(1^n)$, and $\sigma_{m|i} \leftarrow \text{Sign}_{sk_{m|i}}(pk_{m|i0} || pk_{m|i1})$. Add these values to the state.
 2. If σ_m is not included in the state, then $\sigma_m \leftarrow \text{Sign}_{sk_m}(m)$. Add it to the state.
 3. Output $(\{\sigma_{m|i}, pk_{m|i0}, pk_{m|i1}\}_{i=0}^{n-1}, \sigma_m)$.
- ▶ Vrfy^* : Given a public key pk_ϵ and a signature as above, output 1 iff
 1. $\text{Vrfy}_{pk_{m|i}}(pk_{m|i0} || pk_{m|i1}, \sigma_{m|i}) = 1$ for all i and
 2. $\text{Vrfy}_{pk_m}(m, \sigma_m) = 1$.

Proof

Adversary \mathcal{A} :

1. Choose $i^* \in \{1, \dots, \ell\}$. Construct a list pk^1, \dots, pk^ℓ of keys as follows:
 - ▶ Set $pk^{i^*} := pk$.
 - ▶ For all other i , $(pk^i, sk^i) \leftarrow \text{Gen}(1^n)$.
2. Run \mathcal{A}^* on $pk_e = pk^1$. When \mathcal{A}^* requests a signature on m :
 - 2.1 For $i := 0$ to $n - 1$ do:

If $pk_{m|i,0}$, $pk_{m|i,1}$, and $\sigma_{m|i}$ have not yet been defined, then set $pk_{m|i,0}$, $pk_{m|i,1}$ to the next two unused keys. Compute a signature $\sigma_{m|i}$ on $pk_{m|i,0} || pk_{m|i,1}$ (when $i \neq i^*$, use sk^i , otherwise use the one query to the signing oracle).
 - 2.2 If σ_m is not defined, compute a signature using pk_m (see above).
 - 2.3 Give $(\{\sigma_{m|i}, pk_{m|i,0}, pk_{m|i,1}\}_{i=0}^{n-1}, \sigma_m)$ to \mathcal{A}^* .

Proof (2)

3. When \mathcal{A}^* outputs m and a signature $(\{\sigma'_{m|i}, pk'_{m|i,0}, pk'_{m|i,1}\}_{i=0}^{n-1}, \sigma'_m)$, check whether this is valid. If yes:

Case 1: If there is j for which $pk'_{m|j,0} \neq pk_{m|j,0}$ or $pk'_{m|j,1} \neq pk_{m|j,1}$ (this includes the case that $pk_{m|j,0}$ or $pk_{m|j,1}$ were never defined by \mathcal{A}), then take the minimal such j and let i be such that $pk^i = pk_{m|j} = pk'_{m|j}$. If $i = i^*$, then output $(pk'_{m|j,0} || pk'_{m|j,1}, \sigma'_{m|j})$.

Case 2: If case 1 does not hold, then $pk'_m = pk_m$. Let i be such that $pk^i = pk_m$. If $i = i^*$, output (m, σ'_m) .

A stateless solution

Theorem (12.22)

If collision-resistant hash functions exist, then there exists a (stateless) secure signature scheme.